

First annual Sustainability Investment Forum

The Klaas Group



The information

Wednesday, May 25

Session 1: 11:00 a.m. – 4:00 p.m.

Session 2: 2:00 – 7:00 p.m.

Location

Sanfilippo Estate
789 Plum Tree Road
Barrington, IL 60010

Hosted by

The Klaas Group
UBS Financial Services

RSVP

To secure your place at the forum, please contact Karoline O'Connor, Director of Business Development and Marketing for The Klaas Group, via email at karoline.oconnor@ubs.com or call 847-277-2165.

Please advise if you prefer to attend the first or second session of the day.

Refreshments and hors d'oeuvres will be served.

Guest Speakers

John Klaas

Senior Vice President–
Wealth Management
UBS Financial Services Inc.

Gregory Leifel

Executive Director
The Sanfilippo Foundation

Lisa Woolford

Executive Director
Barrington Area
Conservation Trust

Stephen R. Freedman, Ph.D., CFA®

Executive Director
UBS Financial Services Inc.

Bruno Bertocci

Managing Director
Senior Portfolio Manager
UBS Financial Services Inc.

Derek Yach

Chief Health Officer
Vitality Group

The agenda

SESSION ONE

- 11:00 – 11:15 a.m. Arrival/Registration
- 11:15 – 11:30 a.m. Opening words —
John Klaas, Senior Vice President–Wealth Management, UBS
Gregory Leifel, Executive Director, The Sanfilippo Foundation
Lisa Woolford, Executive Director, Barrington Area Conservation Trust
- 11:30 – Noon UBS, Sustainable Investing: Drivers, approaches and trends
Stephen Freedman, Ph.D., CFA®, Executive Director, UBS
- Noon – 12:40 p.m. UBS, Sustainable Investing with Bruno Bertocci
Bruno Bertocci, Senior Portfolio Manager & Managing Director, UBS
- 12:40 – 1:20 p.m. Vitality, Innovations for life-long “whealth”
Derek Yach, Chief Health Officer, Vitality Group
- 1:20 – 4:00 p.m. Tesla test rides and tour of Sanfilippo Estate

SESSION TWO

- 2:00 – 2:15 p.m. Arrival/Registration
- 2:15 – 2:30 p.m. Opening words —
John Klaas, Senior Vice President–Wealth Management, UBS
Gregory Leifel, Executive Director, The Sanfilippo Foundation
Lisa Woolford, Executive Director, Barrington Area Conservation Trust
- 2:30 – 3:00 p.m. UBS, Sustainable Investing: Drivers, approaches and trends
Stephen Freedman, Ph.D., CFA®, Executive Director, UBS
- 3:00 – 3:40 p.m. UBS, Sustainable Investing with Bruno Bertocci
Bruno Bertocci, Senior Portfolio Manager & Managing Director, UBS
- 3:40 – 4:20 p.m. Vitality, Innovations for life-long “whealth”
Derek Yach, Chief Health Officer, Vitality Group
- 4:20 – 7:00 p.m. Tesla test rides and tour of Sanfilippo Estate

The Klaas Group and Vitality cordially invite you to our first annual Sustainability Investment Forum, on May 25.

Join us at the Sanfilippo Estate in Barrington Hills, which is known worldwide for its magnificent antique collections, including music machines, phonographs, art glass, the world's largest restored theatre pipe organ and other functional mechanical antiques. Each collection is displayed within a breathtaking French Second Empire setting.

This forum is designed to provide you with an opportunity to learn more about sustainable investing and the benefits of socially responsible portfolio management. The forum will also be a fundraiser for the Barrington Area Conservation Trust, which aims to "preserve our community's rare and exceptional open spaces for current and future generations."

Our special guest, Tesla Motors, will be onsite to discuss their commitment to accelerating the world's transition to sustainable transport. We hope you'll come to experience some of Tesla's latest technology for yourself.



The biographies

Stephen R. Freedman, Ph.D., CFA®

Executive Director, UBS Financial Services Inc.

Upon joining UBS in 1998, Stephen served as an economist and public policy analyst out of the Swiss headquarters. Since 2004, he has been an Investment Strategist within CIO Wealth Management Research. In 2007, Stephen transferred the US as Global Investment Strategist and was responsible for Asset Allocation until recently. Today, he is responsible for the thematic investment offering and for sustainable investing. He chairs the US Thematic Investment Committee and is a core member of the Wealth Management Americas Asset Allocation Committee. He is the editor-in-chief of the flagship publication "Top Themes," responsible for the quarterly "Decade Ahead" and for the suite of sustainable investing publications.

Bruno Bertocci

Senior Portfolio Manager & Managing Director, UBS

Bruno is the Team Head of the Sustainable Equities team and is the lead Portfolio Manager of the Global Sustainable Equity Portfolio, the Global Sustainable Long Term Themes Equity Portfolio and the Global Sustainable Impact Equity Portfolio. He leads the cross-divisional sustainability marketing strategy effort and is a member of the UBS Sustainability Council. He is based in Chicago. Bruno has worked on the Sustainable Investors team and its distinctive approach and strategies since its transition from negative screening to positive screening at the end of 2007, becoming lead Portfolio Manager of the Global Sustainable Portfolio at the end of 2007.

Derek Yach

Chief Health Officer, Vitality Group

Derek Yach (MBChB, MPH) focuses on advancing global health. He is Chief Health Officer of the Vitality Group, part of Discovery Holdings Ltd. Prior to that he was SVP Global Health and Agriculture Policy at PepsiCo. He has headed global health at the Rockefeller Foundation, has been a Professor of Global Health at Yale University, and is a former Executive Director of Noncommunicable Diseases and Mental Health at the World Health Organization (WHO). Dr. Yach established the Centre for Epidemiological Research at the South African Medical Research Council. He has authored or co-authored over 250 articles covering the breadth of global health and is regularly cited by the Huffington Post, The New York Times and The Economist. Dr. Yach serves on several advisory boards, including those of the Clinton Global Initiative, the New York Academy of Sciences, and the World Economic Forum (as Chair of the Aging Global Agenda Council until 2016). He is a founder board member of Cornerstone Capital. He lives in Connecticut, USA. His main leisure activity involves swimming in pools, rivers and the ocean.

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