

**Arbitrator Comparison Report**
**Arbitrator: (Name of Arbitrator appears here)**
**Client Matter: sample**
**Primary Sort: Type of Dispute (Ascending)**
**Secondary Sort: Total Awarded (Descending)**
**Notes: (Client Comments)**


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Caption	Docket	Type Disp	Days Open	Issued	Allegations	Product	Wins	Total Amt Clmd	Clmd Comp	Total Amt Awd	Recovery Rate	Comp Awd	Atty Fees	A Punis	CC Awd	Expung
Santarsiero v. TD Ameritrade	<a href="#">09-07212</a>	Customer Member	343	11/30/10	Suitability; Negligence;	Bonds	Rs Win	65.6	65.6	0	0%	0	0	0	-1	Y
Barron v. Scott Stringfellow	<a href="#">10-01995</a>	Customer Member	435	07/08/11	Misreprestrn; Suitability; Supervision	CorpDebt	Rs Win	0	0	0	0%	0	0	0	0	
Kaiser v. Berger	<a href="#">12-01158</a>	Customer Member	321	02/06/13	Suitability; Misreprestrn; BreachFiducDty	Equity	Rs Win	2850	2850	0	0%	0	0	0	-1	Y
Dezio v. Sands Brothers	<a href="#">02-00314</a>	Customer Member	1949	05/18/07	Fraud; Churning; UnauthTdg	CorpDebt	Stipulated	220	220	-1		-1	0	0	-1	Y
Montaniile v. Ameritrade Inc	<a href="#">99-03853</a>	Customer Member	271	05/20/00	MarginLiqdn; ;	Margin	Rs Win	15.3	14.4	0	0%	0	0	0	1.9	
Bongiorno v. Salomon Smith	<a href="#">1999-008167</a>	Customer Member	442	01/31/01	UnauthTdg; ;	CorpDebt	Rs Win	0	0	0	0%	0	0	0	0	
Morgan Stanley v. Rosica	<a href="#">12-02389</a>	MemberEmployee	135	11/09/12	LoanDefault; BreachContract;	Promissory Note	Cs Win	621.4	614.2	621.4	101.2%	614.2	2.5	0	0	
America's Choice v. Liotta	<a href="#">09-04628</a>	MemberEmployee	180	02/01/10	BreachContract; Indemnification;	NP	Cs Win	165.5	156.7	165.5	105.6%	156.7	8.8	0	0	
Puglisi v. Wells Fargo	<a href="#">10-05276</a>	Customer Member	399	12/23/11	Misreprestrn; Churning; Suitability	MutualFund s	Stipulated	100	100	-1		-1	0	0	-1	Y
Holt v. MJ Whitman	<a href="#">09-01446</a>	Employee Member	394	04/14/10	WrongTerm; Compensatn;	NP	Cs Win	483.6	483.6	311.1	64.3%	311.1	0	0	0	
Rebele v. AG Edwards	<a href="#">2002-010405</a>	Customer Member	545	12/10/03	Suitability; Supervision; FailToObey	-1	Stipulated	350	350	-1		-1	0	0	-1	Y
Wolfson v. Sands Brothers	<a href="#">2003-011593</a>	Customer Member	348	02/17/04	Churning; Suitability; Misreprestrn	-1; Margin	Stipulated	650	650	-1		-1	0	0	0	N
Marzocco v. Ladenburg Capital	<a href="#">02-03440</a>	Employee Member	374	06/19/03	BreachContract; Compensatn;	NP	Cs Win	95	95	1.5	1.6%	1.5	0	0	0	
McGuire v. Joseph Stevens	<a href="#">01-01834</a>	SmallClms	385	04/29/02	UnauthTdg; FailToObey; BreachFiducDty	Equity	Rs Win	7.4	7.4	0	0%	0	0	0	0	
Kantor v. E*Trade Securities	<a href="#">07-03417</a>	SmallClms	332	10/30/08	UnauthTdg; ;	Money Mkt A/c	Rs Win	8.1	7.8	0	0%	0	0	0	0	

**Arbitrator Award History**

# of Awards Since 2000: 16

Total # of Awards in History: 16

**Cross Reference Results for Ranking Form:**

This Arbitrator has served as an Arbitrator on the same panel with the following Arbitrator(s):

Joan Marie Chiusano	<a href="#">10-01995</a>
Richard W. Cutler, Esq.	<a href="#">07-03417</a>
Richard W. Cutler, Esq.	<a href="#">09-07212</a>

**\*This is a sample report, this data may not represent actual Awards.**

**-1 represents Amount Not Quantifiable, or Resolution is Other Than Monetary Award**